



The following section features attorneys who have demonstrated leadership qualities and have achieved the AV Preeminent rating by Martindale-Hubbell®.

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A complete directory of all AV® Preeminent™ lawyers can be found online at Lawyers.com® and Martindale.com, in the Martindale-Hubbell® Law Directory in print and CD-ROM formats, and online through the LexisNexis® services and at lexis.com. Attorneys shown do not constitute the full list of "Top Rated Lawyers"



SECURITIES LAW

CALIFORNIA SAN JOSE

DANIEL BERGESON

Daniel Bergeson is the founding partner of Bergeson, LLP, Silicon Valley's leading business litigation law firm.

His practice encompasses all aspects of complex business litigation and trial work for technology companies and individuals. Mr. Bergeson has represented companies and individuals in a wide variety of significant litigation matters and trials. He is among an elite group repeatedly selected for inclusion in The Best Lawyers in America. Mr. Bergeson consistently is listed among Silicon Valley's Top Attorneys in San Jose Magazine, as well as Northern California Super Lawyers, as compiled in Law & Politics Magazine. He also has been named as one of the Best Lawyers in the Bay Area by Bay Area Lawyer Magazine. Mr. Bergeson has received the highest peer-review rating for ethical standards and legal ability from Martindale-Hubbell.



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STEVE A. MILLER

Steve Miller is an experienced trial and arbitration lawyer who has devoted his nationwide practice to the recovery of investment losses and damages suffered by customers of brokerage firms. Steve maintains a hands-on practice tailored to each client's specific needs while aggressively pursuing claims against the largest brokerage firms in the country. He also serves as a FINRA, AAA and NFA arbitrator, which gives him unique insight into the decision process of arbitrators.



The Denver Post has described Steve as a "Top Gun in Securities Law."

He is licensed to practice in Colorado, Wyoming, California, Arizona, Texas and Florida.

He is also admitted to practice before the U.S. Supreme Court, all U.S. Courts of Appeal, and several U.S. District Courts.

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LANCE JON KIMMEL

Lance Jon Kimmel is the founding and managing partner of SEC Law Firm, which represents growth companies around the globe, investors and the regulated professionals who serve them. Mr. Kimmel's practice focuses on public and private securities offerings, SEC reporting, corporate governance, mergers and acquisitions, representation of companies before the SEC and stock exchanges, FINRA compliance for investment bankers and fund formation. He handles capital raising at every level, from seed capital to underwritten offerings, from reverse mergers to direct registered offerings, from equity credit lines to bank credit facilities. Mr. Kimmel is actively involved in new initiatives under the JOBS Act for raising private capital through advertising and Regulation A+ mini-IPOs. His clients reflect the spectrum of 21st century business, from manufacturing to healthcare, from biotechnology to green technology, from financial services to broadcasting, from real estate to consumer goods.

As one of the most frequently quoted securities attorneys in America, Mr. Kimmel has contributed his insights to NPR Marketplace, the Los Angeles Times, Bloomberg Forum and Sky Radio, among other mainstream and financial broadcast and print media around the world. He has written numerous articles and is a frequent public speaker in the U.S., U.K. and China on current legal developments in the corporate finance arena. Mr. Kimmel serves on the Securities Regulation Committee of the American Bar Association, served as a national coordinator of the SEC's Small Business Forum and has given testimony to the SEC's Advisory Committee on Smaller Public Companies on reform proposals to ease the burdens of the Sarbanes-Oxley Act for smaller reporting companies. He is an approved Designated Advisor for Disclosure (DAD) for the OTCQX stock market.



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SOLOMON B. CERA

Mr. Cera, the managing partner, has been litigating complex cases with the Firm for more than thirty years. He has been at the forefront of the Firm's nationwide practice in litigating complex class action and individual cases on behalf of plaintiffs. The Firm's principal areas of practice are securities and anti-trust litigation. Many of the Firm's cases are class actions. With unwavering commitment to its clients' interests, the Firm establishes a close working relationship from initial investigation through successful resolution. The Firm's nationwide



class action practice, run from its San Francisco and Boston offices, covers a wide range of businesses and industries. The Firm's cases often involve alleged accounting manipulations, misstated financial results, price fixing, monopolization, and/or other restraints of trade. In addition to its class action cases, the Firm also represents individuals and small businesses in high stakes litigation in a variety of areas including ERISA litigation, *qui tam* and whistleblower litigation, churning and unsuitability claims, and for breaches of fiduciary duty by officers and directors and general partners. The Firm also undertakes representation of individuals and businesses in arbitration, and has vast experience in obtaining mediated resolutions of disputes.

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